



*National Racing  
Compact*  
**RENEWAL  
APPLICATION**

*for use by Compact licensees*

FOR OFFICE USE ONLY

Date: ___ / ___ / ___	License #: _____
Total Fees: _____	Receipt/Trans. ID # _____
Payment type: _____	
Clerk _____	Approved by _____

*Use this form to renew your National Racing Compact license. Service of all papers may be made upon you by mail to your last known address; it is your responsibility to report any changes to it throughout your license term.*

1. Breed:	<input type="checkbox"/> Thoroughbred	<input type="checkbox"/> Standardbred	<input type="checkbox"/> Quarter Horse	<input type="checkbox"/> Other _____	
2. License Type:	<input type="checkbox"/> Owner	<input type="checkbox"/> Trainer	<input type="checkbox"/> Jockey	<input type="checkbox"/> Driver	<input type="checkbox"/> Other _____
3. Applicant name:	_____				
	<i>Last</i>	<i>First</i>	<i>Middle</i>	<i>Maiden</i>	
4. Social Security/tax ID Number: _____	5. Date of Birth: ___ / ___ / ___	6. Marital status: _____			

**ALL APPLICANTS** -- Please complete the following:

7. Mailing Address: \_\_\_\_\_ City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_

8. Telephone: Business: \_\_\_\_\_ Home: \_\_\_\_\_ Fax: \_\_\_\_\_ Cell: \_\_\_\_\_

9. Spouse's name: \_\_\_\_\_

*Last*                      *First*                      *Middle*                      *Maiden*

10. Citizenship: \_\_\_\_\_ 11. USTA Membership (if any) No. \_\_\_\_\_ and Expiration Date: \_\_\_ / \_\_\_ / \_\_\_

12. Occupation: \_\_\_\_\_

*Type*                      *Employer's Name*                      *Employment Address*

13. Person to notify in case of emergency: \_\_\_\_\_ Telephone: \_\_\_\_\_

14. If you are required to have workers' compensation insurance for your racing employees, provide a copy of certificate of insurance and state your coverage: \_\_\_\_\_

*Company*                      *Policy Number*                      *Exp. Date*                      *Name of Policyholder*

**OWNERS** -- Please complete the following:

15. Number of horses in training: \_\_\_\_\_ 15. Primary Trainer: \_\_\_\_\_

*Name*                      *Location (City and State)*

16. Identify the horses that you plan to race this year (if you own and plan to race more than one, then disclose at least two of them):

Name of Horse	YOB	Trainer's Full Name	Ownership Name on Registration Papers	% you own	Breed T,S,Q

17. List those with a title or beneficial ownership interest in any of your race horses (list them all, using a separate page if necessary):

Name of Co-Owner(s)	Co-Owner(s)'s Address	Name of Horse	% s/he Owns

18. Colors Registration – Jacket Color & Description: \_\_\_\_\_

Sleeves: \_\_\_\_\_ Collar: \_\_\_\_\_ Cap: \_\_\_\_\_

**ALL APPLICANTS** – Have any of the following matters occurred since your last license application (in the past 3 years):

- 19a. Has your or your spouse's racing license been denied, suspended for more than 7 days, or revoked?  Yes  No
- b. Has any other type of license or permit of you or your spouse been suspended, denied, or revoked?  Yes  No
- c. Have you been expelled, discharged or ejected from any race track, or fined more than \$100?  Yes  No
- d. Have you or your spouse been convicted (including by nolo contendere) for, or forfeited bail on, any felony or misdemeanor criminal offense (including DWI or DUI)?  Yes  No
- e. Are there any criminal charges currently pending anywhere against you or your spouse?  Yes  No
- f. Are you or your spouse currently on parole or probation?  Yes  No
- g. Have you or your spouse used illegal drugs or excessive-alcohol?  Yes  No
- h. Have you or your spouse been associated or involved with any activity or person that is disreputable (involving organized crime, illegal gambling, extortion, racketeering, fraud, misrepresentation, race fixing/influencing, bookmaking, touting, pool-selling, bet solicitation, or similar misconduct)?  Yes  No
- i. Are there any outstanding civil judgments against you or your spouse?  Yes  No
- j. Are you 4 months or more in arrears in child support?  Yes  No
- k. Have you any racing conflicts of interest (connections to government, regulators or race tracks)?  Yes  No

If you have answered "yes" to any of these questions, provide full details (date, matter, location) on a separate page or below.

**SUPPLEMENTAL INFO:** – Use this space (or a separate page) for any additional information requested above. \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

### **AFFIRMATION and CONSENT**

I consent to a background investigation and report, including information from personal interviews with third parties (family, business, financial sources, etc.) and of my character and general reputation. I consent to a search within the grounds of any racetrack or racing association of my person and property, including premises and vehicles that I have the right to (or do) occupy or control, and to the seizure of articles related to unlawful conduct. I consent to be subject to the subpoena powers of authorized regulatory agencies and to written requests in lieu thereof, and shall provide any such agency with all information and documents it may request. I agree that participating in racing is a privilege, not a right, that my license is subject to conditions precedent in the rules of racing, that failure to comply with those rules shall be grounds for immediate suspension or revocation of my license, and that rulings in one jurisdiction may be applied in others including directly on my national racing compact license. I agree to abide by all applicable rules and regulations where I race or am licensed, including conflict of interest rules of each jurisdiction, and affirm that my use of my license will not violate them, and I accept that my license may be suspended or revoked at any time for misstatements or omissions I have made in my application. Where I submit with this application a fingerprint card designating the national racing compact as an entity to which the Federal Bureau of Investigation ("FBI") is authorized to send my criminal history record report, I agree that I am also authorizing each racing commission (or its equivalent) of a state that is a member of the Compact to receive and retain the criminal history record report and if I fail to indicate on my fingerprint card that the FBI is authorized to send that report to such racing bodies, I authorize the Compact to do so for me. I consent to the Compact keeping my fingerprints on file for later use, such as making a fingerprint submission for any State where I plan to race that seeks my state criminal history record, for any disciplinary action relating to me, or for review of my eligibility or renewal of my Compact license, and I authorize those and related uses.

*"I hereby affirm, under penalty of perjury and knowing that false statements herein may be prosecuted as a crime, that I have read the foregoing application (including any attachments) and affirm every statement herein is true and correct. Where I have left certain questions unanswered because the application asks only for changes, I affirm that my information is the same as my last application."*

Name: \_\_\_\_\_ Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Email: \_\_\_\_\_

Renewal App.2009.doc

## NRC Jurisdictional Add-on Form

Date: \_\_\_\_\_

Contact: \_\_\_\_\_

Name: \_\_\_\_\_

Phone #: \_\_\_\_\_

Stable Name: \_\_\_\_\_

This form is used to pay for additional states in order to race with the National Racing License.

	Owner	TB Trainer	Harness Trainer	Jockey	Driver	Stable	Partnership	Colors	Total
Arizona (2 years)	\$150	\$150		\$200		\$150			\$
Arkansas	\$60	\$55		\$55		\$60	\$60		\$
California (3-year)	\$150	\$150	\$150	\$150	\$150	\$300	\$300		\$
Delaware (Harness)	\$50		\$50		\$50				\$
Delaware (TB)	\$50	\$50		\$30		\$25	\$25		\$
Delaware (TB) 3 -Year	\$150	\$150		\$90		\$75	\$75		\$
Florida (3-year)	\$80	\$80	\$80	\$80	\$80	\$120	\$120		\$
Illinois	\$25	\$25		\$25		\$50 **	\$25		\$
Illinois (Harness)	\$25		\$25		\$25	USTAreg	USTAreg		\$
Indiana	\$35								\$
Iowa (2 year)	\$10	\$10	\$10	\$10	\$10	\$10	\$10		\$
Kentucky (Thoroughbred)	\$150	\$150		\$150					\$
Kentucky (Harness)	\$125		\$125		\$125				\$
Kentucky (Quarterhorse)	\$35								\$
Louisiana	\$25	\$25		\$35		\$50	\$25	\$25	\$
Louisiana (3-year)	\$75	\$75		\$105				\$75	\$
Maryland (Thoroughbred)	\$100	\$100		Free		Free	Free		\$
Maryland (Harness)	Free		Free		Free	Free			\$
Massachusetts	\$30	\$30	\$30			\$60	\$50		\$
Minnesota	\$50								\$
Nebraska	\$30	\$40		\$50		\$50	\$15		\$
New Jersey	\$50	\$50	\$50			\$50	\$50		\$
New Jersey (3-year)	\$150								\$
New Mexico	\$120	\$120				\$120			\$
New Mexico (3-year)	\$220	\$220				\$220			\$
New York (New)	\$175	\$105	\$95	\$136.50	\$106.50				\$
New York (New 2-year)	\$225	\$165	\$145	\$186.50	\$126.50				\$
New York (New 3-year)	\$275	\$215	\$195	\$236.50	\$146.50				\$
New York (Renewal)	\$50	\$30	\$20	\$50	\$20				\$
New York (Renewal - 2-year)	\$100	\$60	\$40	\$100	\$40				\$
New York (Renewal - 3-year)	\$150	\$90	\$60	\$150	\$60				\$
Ohio	\$50	\$50	\$50	\$50		\$25**	\$25		\$
Oklahoma	\$50	\$50		\$50		\$10	\$10		\$
Oklahoma (3-year)	\$120	\$120		\$120					\$
Pennsylvania (Standardbred)	\$150								\$
Pennsylvania (TB - 3-year)	\$150					\$60	\$60		\$
Virginia (2-year)	\$0	\$0	\$0	\$0	\$0	\$0			\$
Washington	\$246*	\$83		\$83		\$59			\$
West Virginia	\$30	\$30		\$30		\$40			\$

\*Includes other state required fees

NRC ( Membership) N / R

**\$300.00**

\*\*IL-No stable fee if w/ NY Jockey Club; OH-stable fee \$50 if not licensed in other state

Jurisdiction Fee(s) \$ \_\_\_\_\_

\*Add-on request within 5 days of racing

1Shipping Fee (\$40) \$ \_\_\_\_\_

1Expediting Fee (\$25) \$ \_\_\_\_\_

CC Processing Fee \$ \_\_\_\_\_

Updated 02012023

**TOTAL** \$ \_\_\_\_\_

**REVERSE FOR PAYMENT INFORMATION**



Paid by Credit Card: MasterCard \_\_\_ Visa \_\_\_ Discover \_\_\_ American Express \_\_\_

Card Number: \_\_\_\_\_ Expiration Date: \_\_\_\_\_

Name on Card: \_\_\_\_\_ Security Code: \_\_\_\_\_

Paid by Check: Amount: \_\_\_\_\_ Check Number: \_\_\_\_\_

<i>Please be sure to sign and include payment with this form</i>	
_____	_____
Signature of Licensee	Date

**Credit Card Processing Fee**

\$1-\$50 = \$1.75	\$1,001-\$1,050 = \$36.75
\$51-\$100 = \$3.50	\$1,051-\$1,100 = \$38.50
\$101-\$150 = \$5.25	\$1,101-\$1,150 = \$40.25
\$151-\$200 = \$7.00	\$1,151-\$1,200 = \$42.00
\$201-\$250 = \$ 8.75	\$1,201-\$1,250 = \$43.75
\$251-\$300 = \$10.50	\$1,251-\$1,300 = \$45.50
\$301-\$350 = \$12.25	\$1,301-\$1,350 = \$47.25
\$351-\$400 = \$14.00	\$1,351-\$1,400 = \$49.00
\$401-\$450 = \$15.75	\$1,401-\$1,450 = \$50.75
\$451-\$500 = \$17.50	\$1,451-\$1,500 = \$52.50
\$501-\$550 = \$19.25	\$1,501-\$1,550 = \$54.25
\$551-\$600 = \$21.00	\$1,551-\$1,600 = \$56.00
\$601-\$650 = \$22.75	\$1,601-\$1,650 = \$57.75
\$651-\$700 = \$24.50	\$1,651-\$1,700 = \$59.50
\$701-\$750 = \$26.25	\$1,701-\$1,750 = \$61.25
\$751-\$800 = \$28.00	\$1,751-\$1,800 = \$63.00
\$801-\$850 = \$29.75	\$1,801-\$1,850 = \$64.75
\$851-\$900 = \$31.50	\$1,851-\$1,900 = \$66.50
\$901-\$950 = \$35.00	\$1,901-\$1,950 = \$68.25
\$951-\$1,000 = \$35.00	\$1,951-\$2,000 = \$70.00